

Substitute for Form
PTO-1390

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY DOCKET NUMBER

004501-810

U.S. APPLICATION NO. (If known see 37 CFR 1.5)

107532313

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/CH2003/000681	17 October 2003 (17.10.2003)	23 October 2003 (23.10.2002)

TITLE OF INVENTION

METHOD FOR FABRICATING A STEPPED PROFILE FROM A LAYER SEQUENCE

APPLICANT(S) FOR DO/EO/US

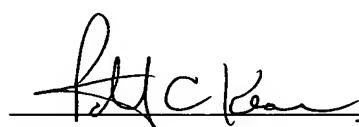
ASSAL, Jerome; EICHER, SIMON; and NANSER, Erich

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission to items concerning a filing under 35 U.S.C. 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (22) indicated below.
4. The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is attached hereto (required only if not communicated by the International Bureau).
 - b. has been communicated by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is attached hereto.
 - b. has been previously submitted under 35 U.S.C. 154(d)(4).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. are attached hereto (required only if not communicated by the International Bureau).
 - b. have been communicated by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 21 below concern document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. A **FIRST** preliminary amendment.
14. A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. A substitute specification.
16. A change of power of attorney and/or address letter.
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825.
18. A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. Other items or information: General Authorization for Petitions for Extensions of Time and Payment of Fees; Application Data Sheet; and PCT/ISA/210

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/5323		INTERNATIONAL APPLICATION NO. PCT/CH2003/000681	ATTORNEY'S DOCKET NUMBER 004501-810
21. <input checked="" type="checkbox"/> Applicant(s) requests that the published application include the following assignment information: <u>ABB Schweiz AG, Zurich, Switzerland</u>		CALCULATIONS PTO USE ONLY	
22. <input checked="" type="checkbox"/> The following fees are submitted:			
Basic Filing Fee (1631)			\$ 300.00
Surcharge of \$130.00 (1617) for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).			
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total Claims	9	-20 = 0	× \$50.00 (1615) \$ 0.00
Independent Claims	1	-3 = 0	× \$200.00 (1614) \$ 0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00 (1616)
Examination Fee			+ \$200.00 (1633) \$ 200.00
Search Fee			+ \$500.00 (1632) \$ 500.00
App. Size Fee (add \$250.00 for each add'l 50 sheets exceeding 100 sheets)			
TOTAL OF ABOVE CALCULATIONS			\$ 1,000.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.			+ \$ 0.00
SUBTOTAL			\$ 1,000.00
Processing fee of \$130.00 (1618) for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			\$ 0.00
TOTAL NATIONAL FEE			\$ 1,000.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 (8021) per property +			
TOTAL FEES ENCLOSED			\$ 1,000.00
a. <input type="checkbox"/> A check in the amount of _____ to cover the above fees is enclosed.			Amount to be refunded : charged :
b. <input type="checkbox"/> Please charge my Deposit Account No. <u>02-4800</u> in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.			
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-4800</u> . A duplicate copy of this sheet is enclosed.			
d. <input checked="" type="checkbox"/> Charge <u>\$ 1,000.00</u> to credit card. Form PTO-2038 is attached.			
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.			
SEND ALL CORRESPONDENCE TO:			
 Burns, Doane, Swecker & Mathis, L.L.P. P.O. Box 1404 Alexandria, Virginia 22313-1404 (703) 836-6620			
SIGNATURE			
Patrick C. Keane			
NAME			
32,858 April 22, 2005			
REGISTRATION NO. DATE			